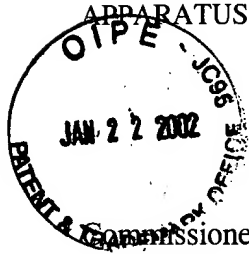


## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of )  
)  
Toru TAKESHITA et al. ) Attn: Applications Branch  
)  
Application No. 09/978,058 )  
)  
Filed: October 17, 2001 )  
)  
For: PLL CIRCUIT AND OPTICAL )  
COMMUNICATION RECEPTION )  
APPARATUS )



**RESPONSE TO NOTICE AND  
SUBMISSION OF EXECUTED DECLARATION**

Commissioner for Patents  
Washington, D.C. 20231

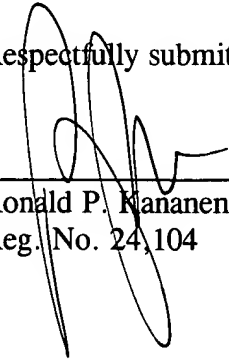
Sir:

In response to the Notice to File Missing Parts of Application mailed November 23, 2001 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the above-identified serial number and filing date in compliance with 37 CFR §1.116. Please charge Deposit Account No. 18-0013 in the amount of \$130.00 in payment of the surcharge for other than a small entity.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

Dated: January 22, 2002

  
\_\_\_\_\_  
Ronald P. Nananen  
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SON-2234

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

(1) Toru TAKESHITA (2) Takashi NISHIMURA

Additional name(s) of conveying party(ies) attached No.

2. Name and address of receiving party(ies)

Name: SONY CORPORATION

Internal Address:

Street Address: 7-35 KITASHINAGAWA 6-CHOME  
SHINAGAWA-KU

City: TOKYO, JAPAN

Additional name(s) & address(es) attached ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Execution Date:

(1) 01/21/02 (2) 01/21/02

4. Application number(s) or patent number(s): 09/978,058

If the document is being filed together with a new application, the execution date of the application

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald P. Kananen, Esq.

Internal Address: RADER, FISHMAN & GRAUER  
Suite 501Street Address: 1233 20<sup>th</sup> Street, NW

City: Washington, D.C. Zip: 20036

6. Total number of applications and patents involved:..... 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

☐ Enclosed☒ Authorized to be charged to Deposit Account

8. Deposit account number:

18-0013

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald P. Kananen

Name of Person Signing

Total number of pages comprising cover sheet 1

Signature

January 22, 2002

Date